

ROCK ISLAND ARSENAL
INTERNAL REVIEW AUDIT PLAN
FY 2003
2 Oct 2002

<u>Function Code</u>	<u>FUNCTIONAL AREA AND NAME OF REVIEW</u>	<u>REMARKS</u>
	I. <u>MISSION AREAS</u>	
12	-Review of Tool Cribs *	SOSRI-IC Requested
	Assess whether controls and procedures are efficient, cost effective, and adequately support customer requirements.	
	-Review of Ammunition Storage Procedures *	SOSRI-IC Requested
	Assess procedures and controls to ensure ammunition issues, turn-ins, and shipments are accurate, timely, and efficient.	

13

-Review of the ROD Process *

**SOSRI-RM
Requested**

Assess the ROD process to assure that ROD follow-up is timely and actions are taken as required.
Validate the financial impact of ROD actions.

13

-Review of Turn-in and Accountability of ADPE *

**SOSRI-IC
SOSRI-IT
Requested**

Assess the new process for turn-in of ADPE.
This would include timeliness of disposition instructions, removal of hard drives, proper documentation, and customer satisfaction.

II. SUPPORT AREAS

6 **-Review of IMPAC Purchase Card Procedures *** **SOSRI-CT
Requested**

Evaluate the IMPAC program for the Rock Island Arsenal activities to assure that internal controls are adequate and procedures/guidelines are followed.

6 **-Review of Accommodation Checks** **SOSRI-CT
Required**

Verify that management controls and processing procedures are done appropriately

15 **-Review of Teaming at Rock Island Arsenal** **SOSRI-CO
Requested**

To evaluate the success of the teaming at RIA with respect to standards and metrics

28

-Review of the Army Emergency Relief Fund

**SOSRI-CF
Required**

Determine whether AER operations at RIA are managed effectively with the regulatory administrative and accounting requirements & evaluate the adequacy and use of management controls.

28

-Review of Children/Youth Services

**SOSRI-CF
Required**

Compliance audit to ensure that management controls are in place and the program follows DOD regulatory guidance.

28

-Review of Golf Range Project

**SOSRI-CO
Requested**

Evaluate the process, procedures, and controls associated with the Golf Range Construction Project.

28 **-Review of the Post Restaurant** **SOSRI-CF
Requested**

Determine the adequacy of the financial accountability,
internal controls and inventory processes of the Post
Restaurant.

29 **-Review of Access Authorizations to RIA Buildings** **SOSRI-SM
Requested**

To determine the best means of tracking authorized
requestors, requests, and accesses.

30 **-Review of MEO Implementation in SOSRI-IC** **SOSRI-RM
Required**

To validate the authorized work years and positions
and assure that MEO is meeting the 90 percent standard
for the Quality Assurance Surveillance Plan (QASP)

33F

Audit Follow-ups

Follow-up on Review of "11" Accounts (SOSRI-IC)
Follow-up on USAAA Audit of Real Property
Follow-up on Review of Reject Processing
Follow-up on Review of Wholesale Material to the DRMO
Follow-up on Review of Family Housing
Follow-up on Review of Special Events Office

* Carry-over from FY 2002 Program

//signed//
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